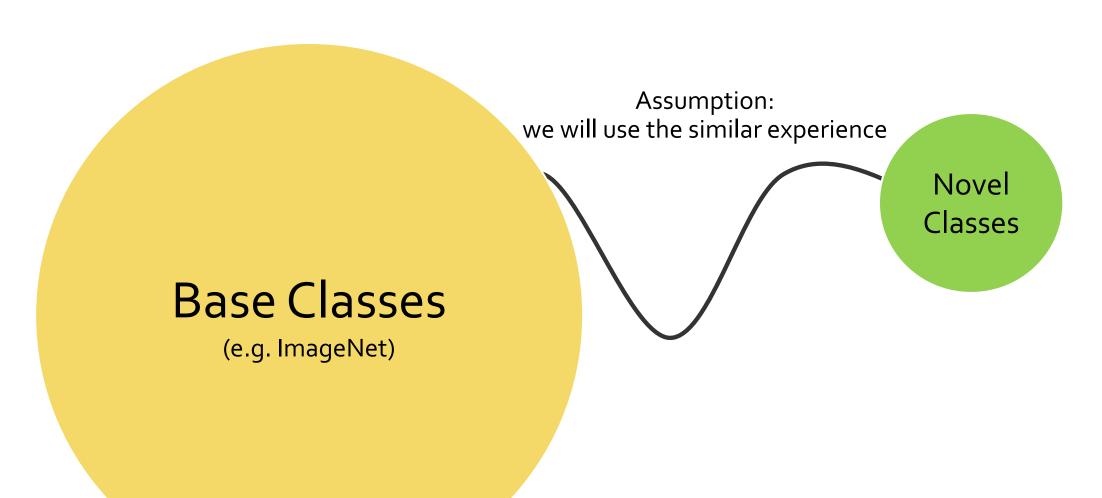
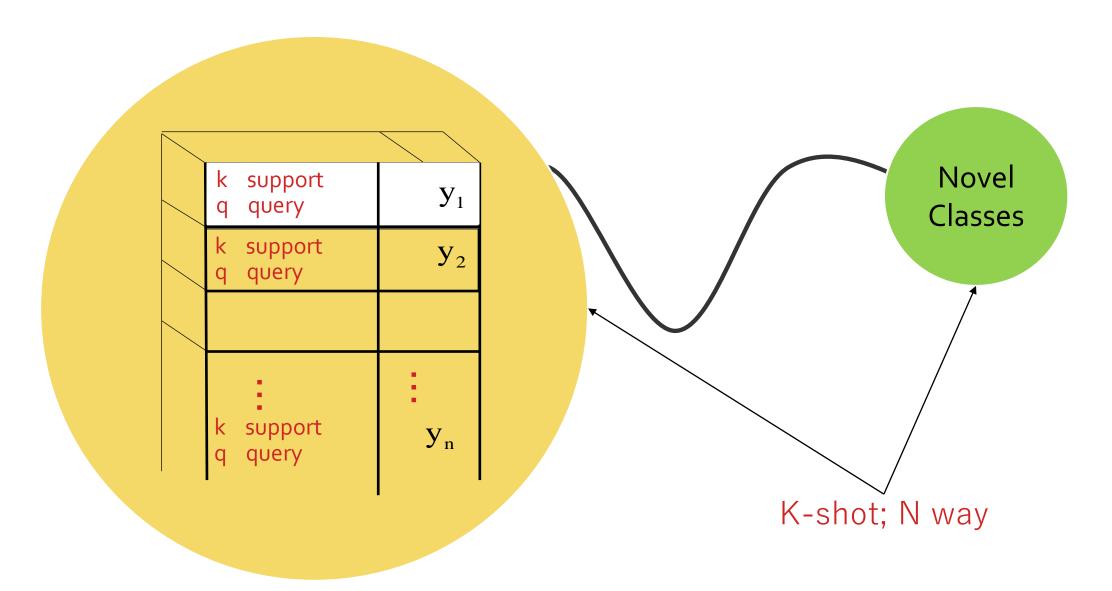
# advances in few-shot learning

Arman Afrasiyabi Université Laval

### few-shot learning: is supervised transferring knowledge

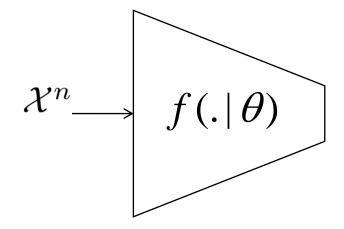


### few-shot learning: is supervised transferring knowledge

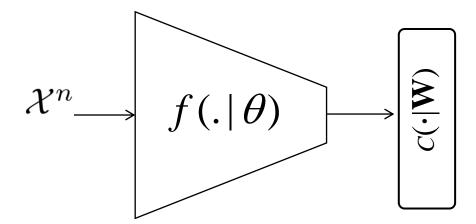


### few-shot frameworks

### meta learning



### standard transfer learning

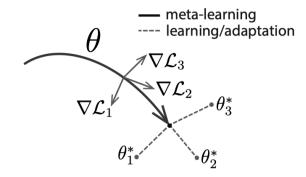


#### **Algorithm 1** Model-Agnostic Meta-Learning

**Require:**  $p(\mathcal{T})$ : distribution over tasks

**Require:**  $\alpha$ ,  $\beta$ : step size hyperparameters

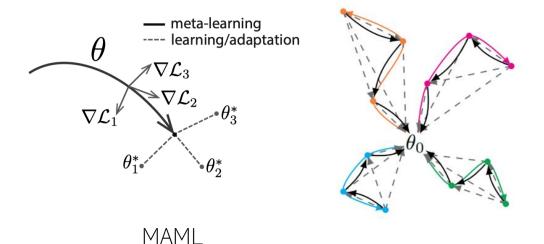
- 1: randomly initialize  $\theta$
- 2: **while** not done **do**
- 3: Sample batch of tasks  $\mathcal{T}_i \sim p(\mathcal{T})$
- 4: for all  $\mathcal{T}_i$  do
- 5: Evaluate  $\nabla_{\theta} \mathcal{L}_{\mathcal{T}_i}(f_{\theta})$  with respect to K examples
- 6: Compute adapted parameters with gradient descent:  $\theta'_i = \theta \alpha \nabla_{\theta} \mathcal{L}_{\mathcal{T}_i}(f_{\theta})$
- 7: **end for**
- 8: Update  $\theta \leftarrow \theta \beta \nabla_{\theta} \sum_{\mathcal{T}_i \sim p(\mathcal{T})} \mathcal{L}_{\mathcal{T}_i}(f_{\theta_i'})$
- 9: **end while**



MAML Finn et al., 2017

#### MAML problems:

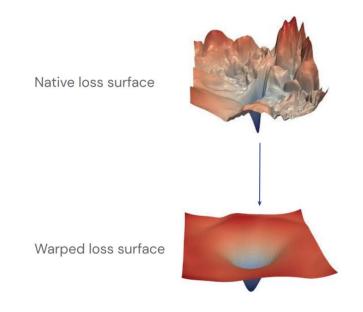
- Vanishing/exploding meta-gradients
- Computationally costly
- Hard to make work for more than ~10 adaptation steps
- Compress all information into a single initial point

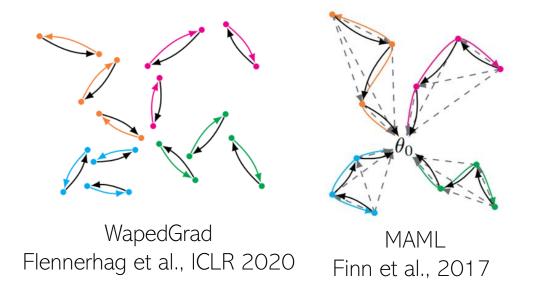


- colors denote tasks
- dashed line denote backpropagation
- solid line denote optimizer parameters gradient w.r.t. one of tasks

Finn et al., 2017

#### Warped Gradient Descent

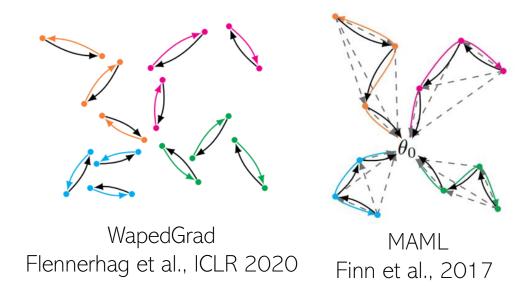




- colors denote tasks
- dashed line denote backpropagation
- solid line denote optimizer parameters gradient w.r.t. one of tasks

http://flennerhag.com/research/pres\_warped\_gradient\_descent\_neurips.pdf

- WarpGrad learns to precondition gradients over a search space
- Stochastic gradient descent defines an empirical parameter distribution over this space
- Meta-learn warp-parameters to yield steepest directions of descent over search space

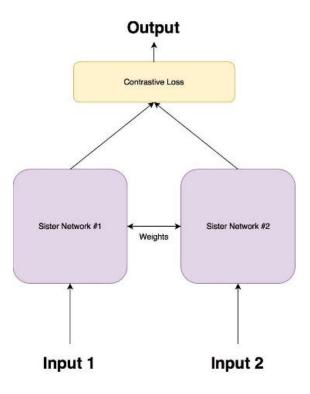


- colors denote tasks
- dashed line denote backpropagation
- solid line denote optimizer parameters gradient w.r.t. one of tasks

http://flennerhag.com/research/pres\_warped\_gradient\_descent\_neurips.pdf

# meta learning- distance based

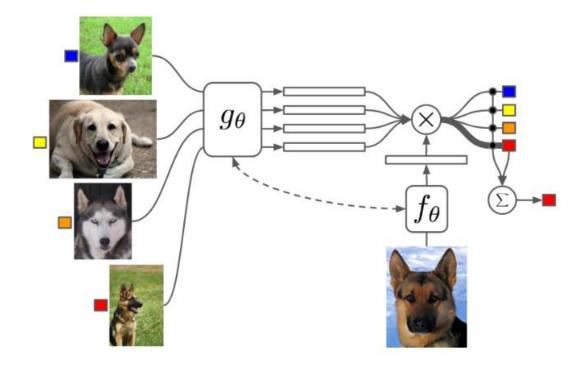
$$\delta(x^{(i)}, x^{(j)}) = egin{cases} \min \parallel \mathrm{f}ig(x^{(i)}ig) - \mathrm{f}ig(x^{(j)}ig) \parallel, i = j \ \max \parallel \mathrm{f}ig(x^{(i)}ig) - \mathrm{f}ig(x^{(j)}ig) \parallel, i 
eq j \end{cases}$$



Siamese Net. Koch et al. (2015)

# meta learning- distance based

$$\hat{y} = \sum_{i=1}^k a(\hat{x}, x_i) y_i$$
  $f(\hat{x}, S) = \text{attLSTM}(f'(\hat{x}), g(S), K)$ 

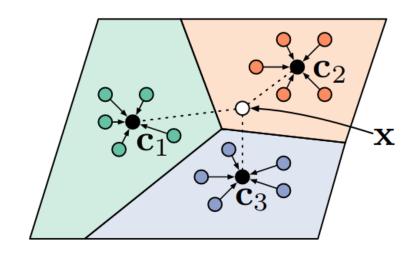


Matching Net. Vinyals et al. (NeurIPS 2017)

# meta learning- distance based

$$\mathbf{c}_k = \frac{1}{|S_k|} \sum_{(\mathbf{x}_i, y_i) \in S_k} f_{\phi}(\mathbf{x}_i)$$

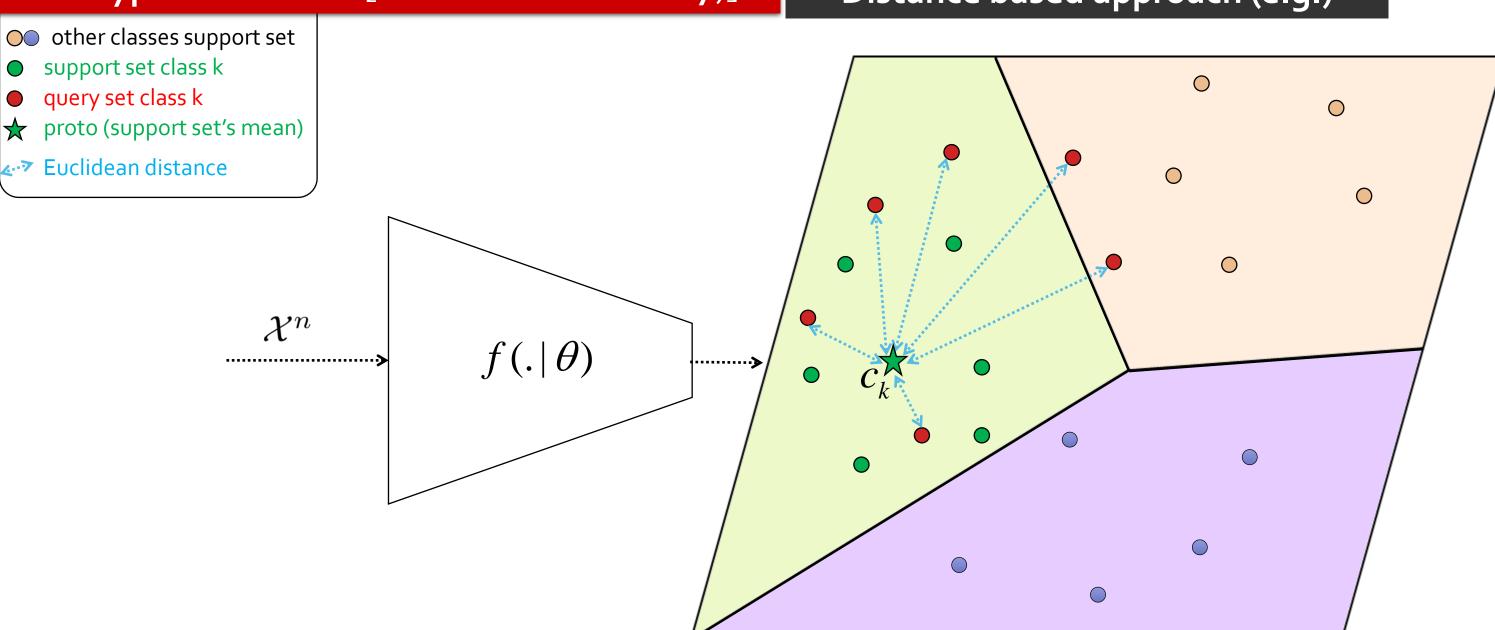
$$p_{\phi}(y = k \mid \mathbf{x}) = \frac{\exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_k))}{\sum_{k'} \exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_{k'}))}$$



Proto. Net. Snell et al. (NeurlPS 2017)

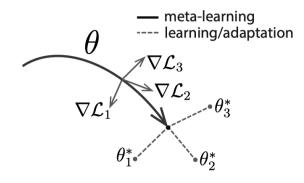
### Prototypical Network [Snell et al. NIPS2017)]

### Distance based approach (e.g.)



# meta learning

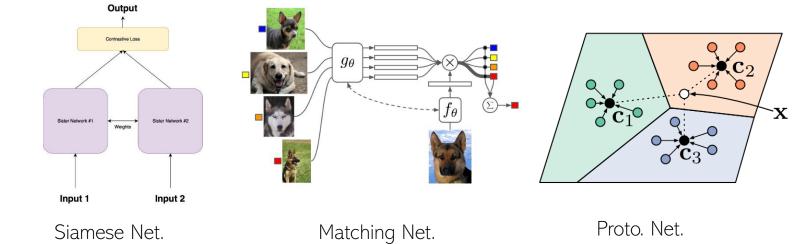
#### 1) initialization based



MAML Finn et al., 2017

#### 2) distance based

Koch et al. (2015)

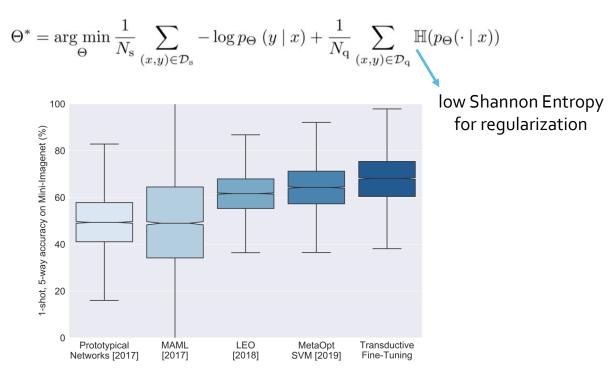


Vinyals et al. (2017)

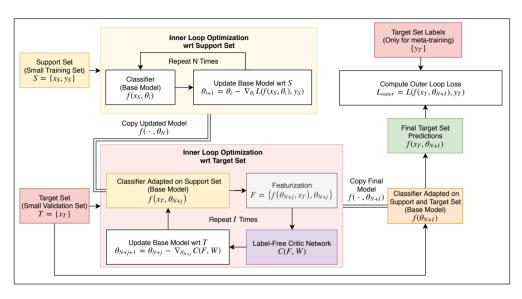
snell et al.(2017)

# meta learning- transductive learning based

use information from the test example x to restrict the hypothesis space while searching for the classifier at test time (Joachims, 1999; Zhou et al., 2004; Vapnik, 2013)



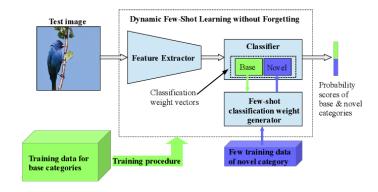
Transductive Fine-Tuning Dhillon et al., ICLR 2020



Self-Critique and Adapt Antoniou et al., NeurIPS 2019

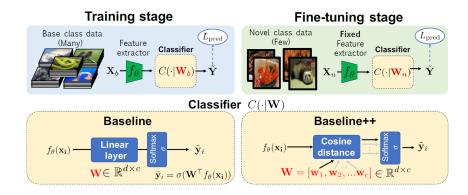
# standard transfer learning

1) specific problem based



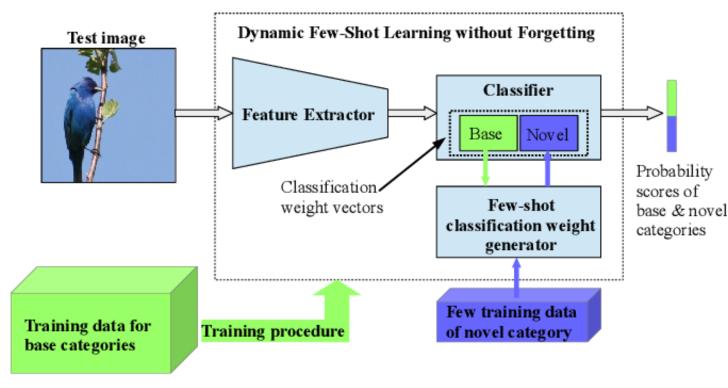
forgetting Gidaris et al., 2018

#### 2) "metric-learning" based



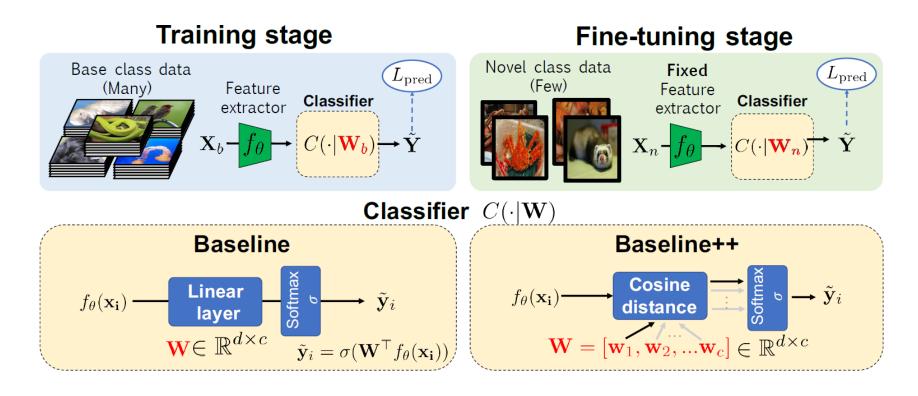
baseline++ Chen et al., 2019

# standard transfer learning- dealing with forgetting



forgetting
Gidaris et al., CVPR2018

# standard transfer learning -metric learning based

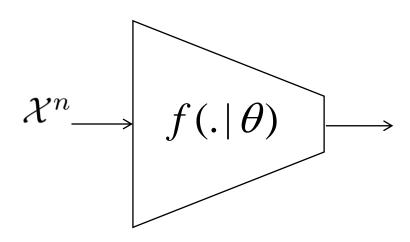


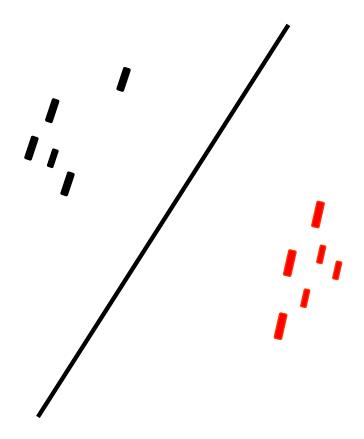
Baseline++ Chen et al., ICLR 2019

# Our study for Few-shot Image Classification

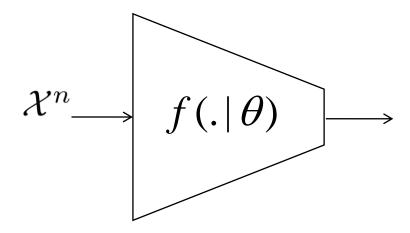
Arman Afrasiyabi, Jean-François Lalonde, Christian Gagné Université Laval

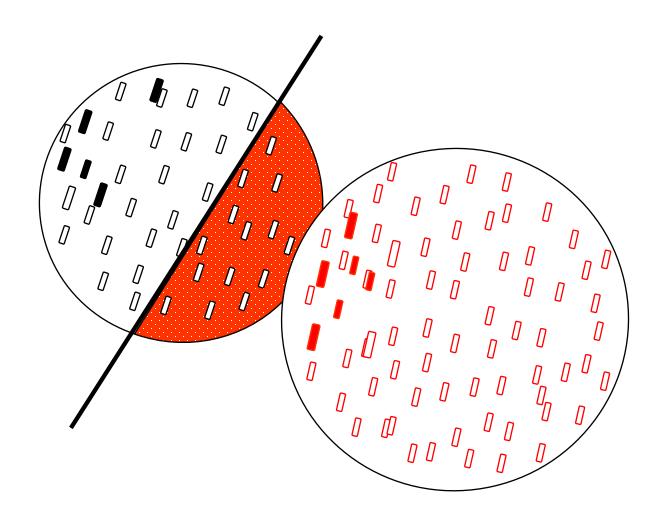
few-shot problem: sampling





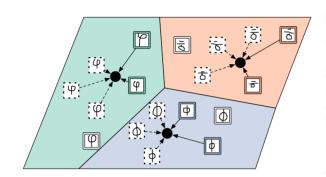
### few-shot problem: sampling



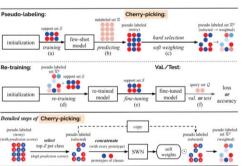


# data augmentation based

#### 1) semi-supervised learning

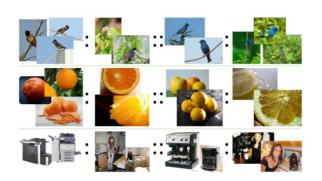


meta semi-supervised Ren et al. 2018

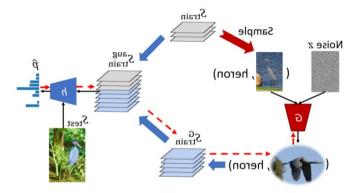


self-train semi-supervised Li et al. 2019

#### 2) generative or mapping models



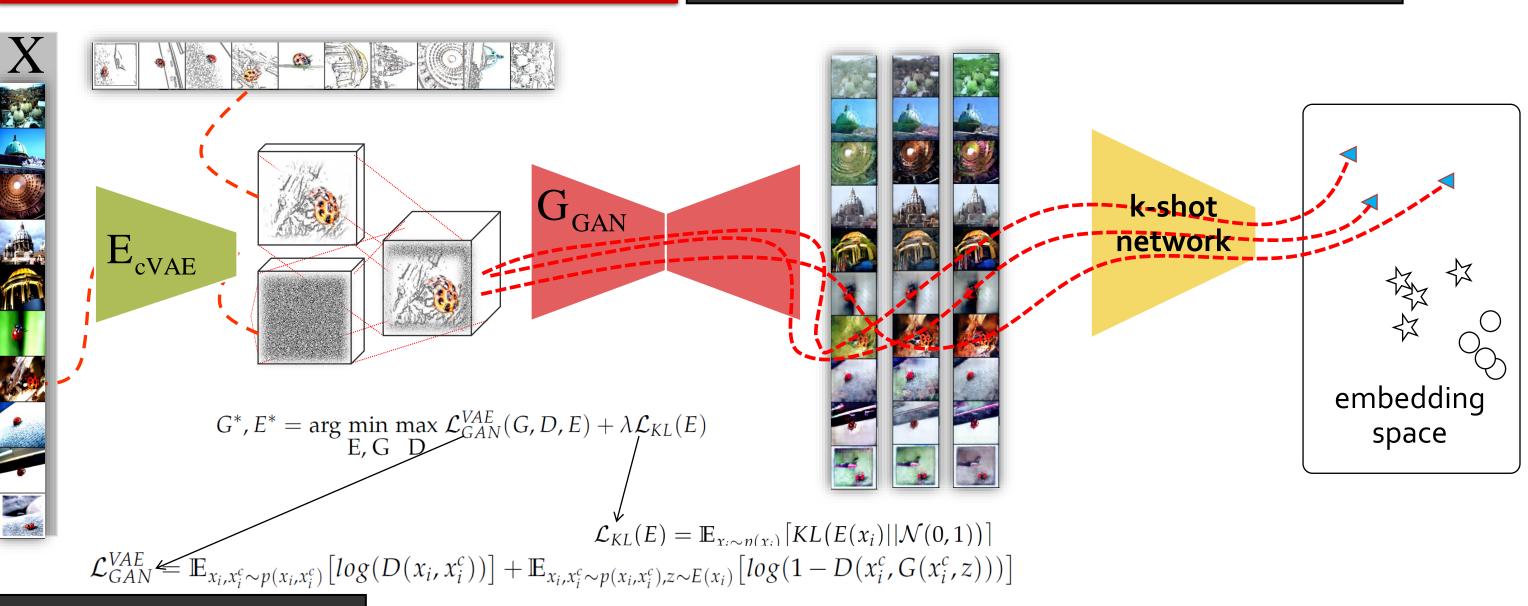
FH Hariharan et al. 2017



Imaginary data Wang et al. 2018

### Extending k-shot to k-plus-shot Learning

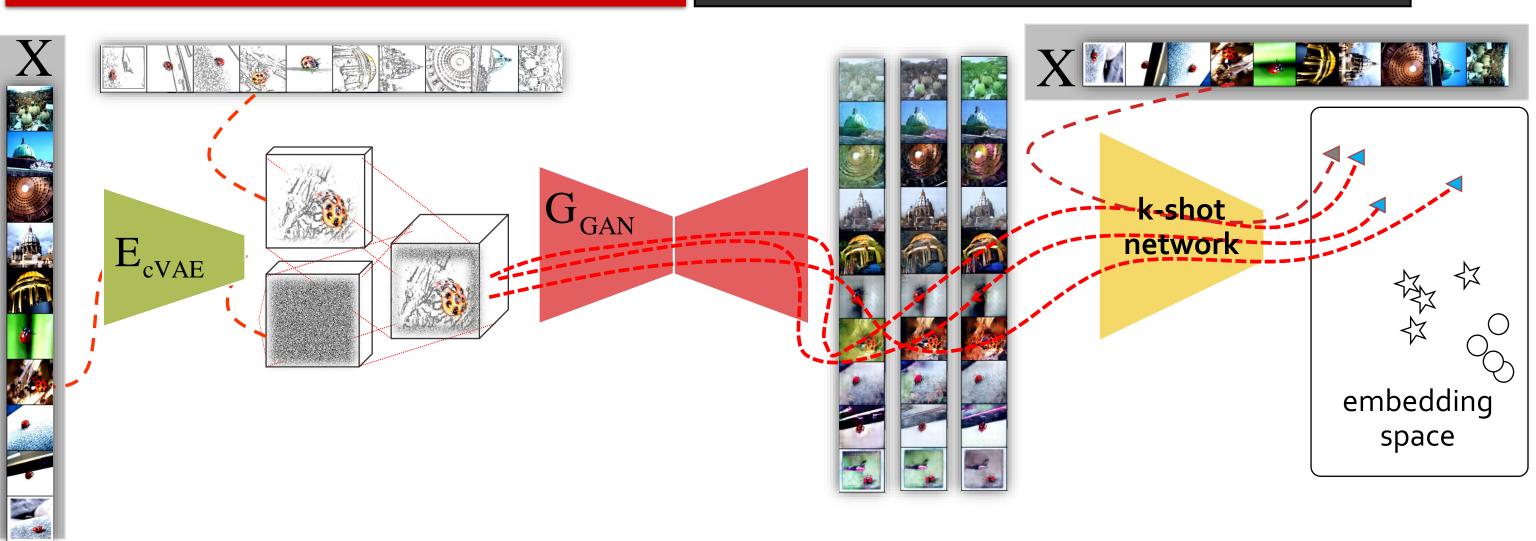
### Conditional Variational Autoencoder GAN



**Consecutives Version** 

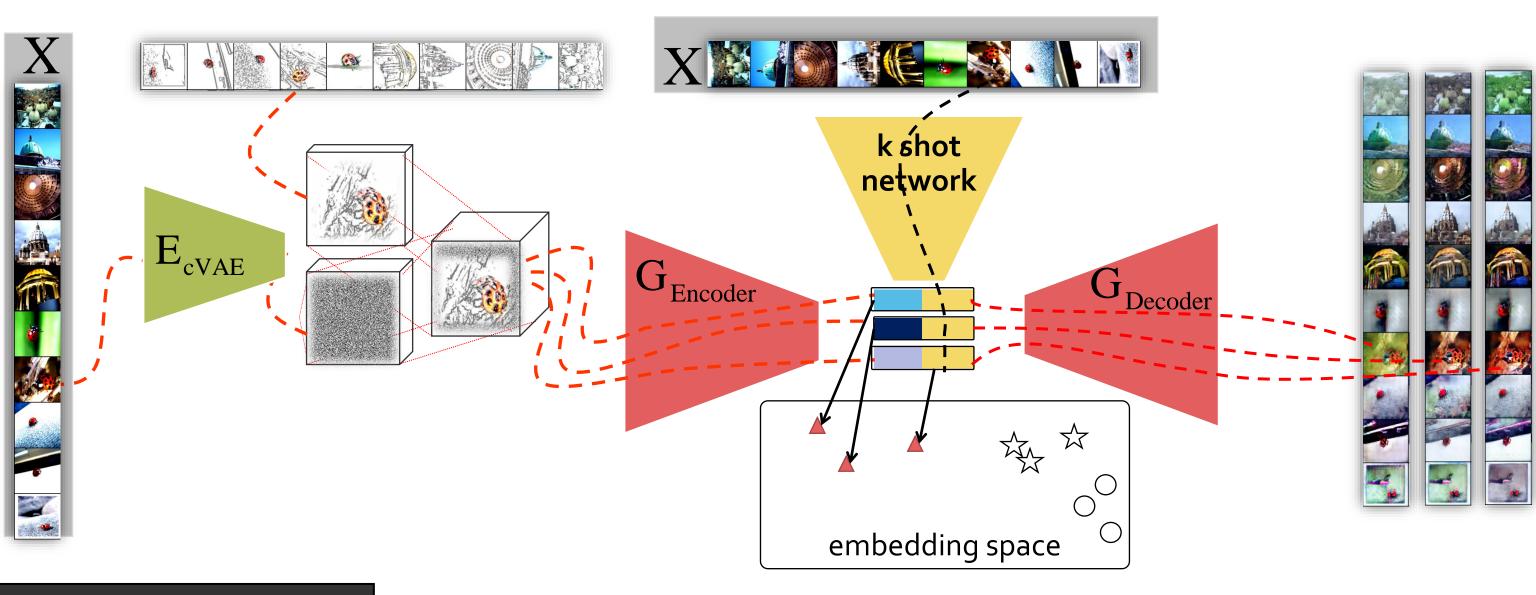
### Extending k-shot to k-plus-shot Learning

### Conditional Variational Autoencoder GAN



### Extending k-shot to k-plus-shot Learning

### Conditional Variational Autoencoder GAN



Lateral Version

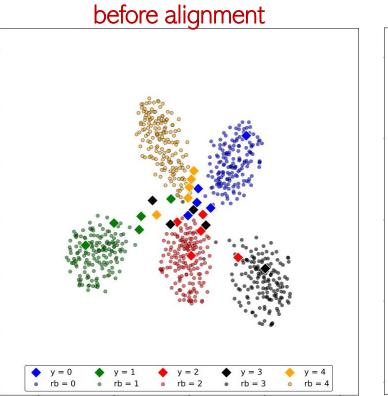
representation learning based fine-tuining

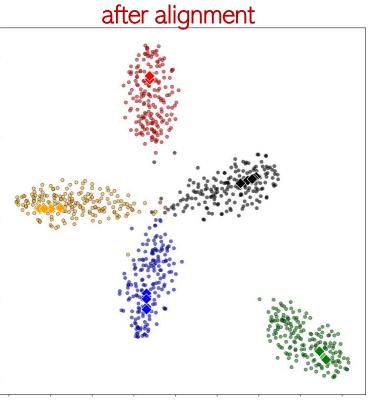
metric-learning and simplicity are necessary!

### Associative Alignment

alignment of novel categories to their related base categories

- prevent overfitting
- keeps the learning capacity

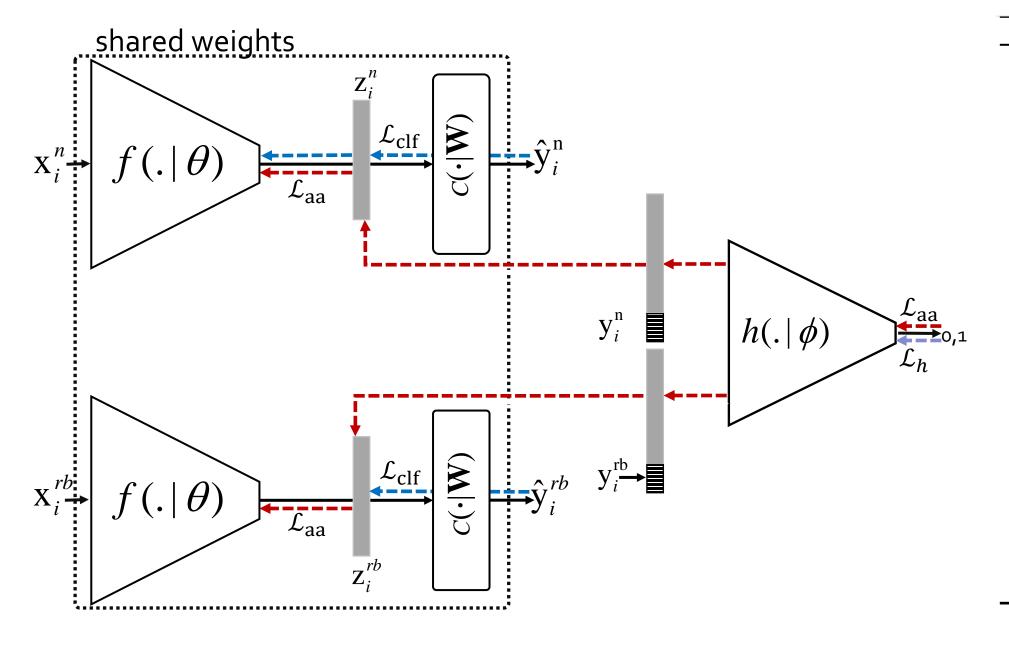




keeping the focus on novel categories:

- adversarial alignment: based on Wasserstein distance
- centroid alignment: based on Euclidian distance

### adversarial alignment



#### **Algorithm 1:** Adversarial alignment algorithm.

```
Input: pre-trained model f(\cdot|\theta), classifier c(\cdot|\mathbf{W}),
novel class set \mathcal{X}^n, related base set \mathcal{X}^{rb}
Output: aligned network c(f(\cdot|\theta)|\mathbf{W})
while not done do
```

Output: aligned network 
$$c(f(\cdot|\theta)|\mathbf{W})$$
  
while not done do
$$\widetilde{\mathcal{X}}^n \leftarrow \text{sample a batch from } \mathcal{X}^n$$

$$\widetilde{\mathcal{X}}^{rb} \leftarrow \text{sample a batch from } \mathcal{X}^{rb}$$
for  $i = 0, \dots, n_{\text{critic}}$  do
$$\begin{array}{c} \text{evaluate critic loss } \mathcal{L}_h(\widetilde{\mathcal{X}}^n, \widetilde{\mathcal{X}}^{rb}) \text{ with eq. 4} \\ \text{update critic: } \phi \leftarrow \phi - \eta_h \nabla_\phi \mathcal{L}_h(\widetilde{\mathcal{X}}^n, \widetilde{\mathcal{X}}^{rb}) \\ \phi \leftarrow \text{clip}(\phi, -0.01, 0.01) \\ \text{end} \\ \end{array}$$
evaluate alignment loss  $\mathcal{L}_{\text{aa}}(\widetilde{\mathcal{X}}^n)$  with eq. 5
$$\theta \leftarrow \theta - \eta_{\text{aa}} \nabla_\theta \mathcal{L}_{\text{aa}}(\widetilde{\mathcal{X}}^n)$$
evaluate classification loss  $\mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^{rb})$ 

$$\mathbf{W} \leftarrow \mathbf{W} - \eta_{\text{clf}} \nabla_{\mathbf{W}} \mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^{rb})$$
evaluate classification loss  $\mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^{rb})$ 

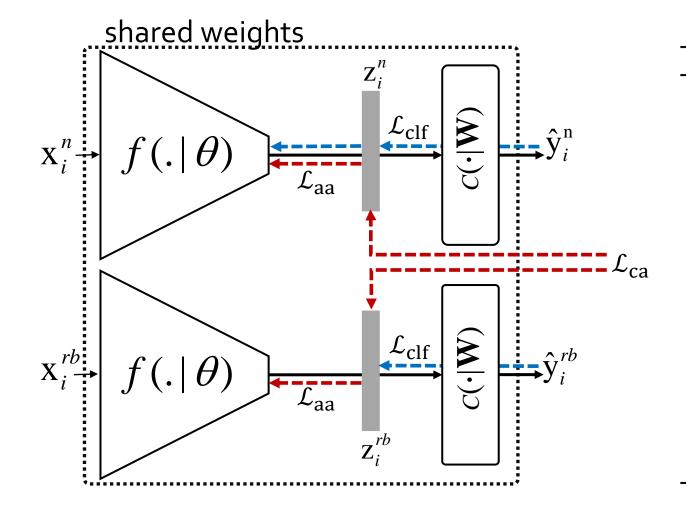
$$\mathbf{W} \leftarrow \mathbf{W} - \eta_{\text{clf}} \nabla_{\mathbf{W}} \mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^{rb})$$
evaluate classification loss  $\mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^n)$ 

$$\mathbf{W} \leftarrow \mathbf{W} - \eta_{\text{clf}} \nabla_{\mathbf{W}} \mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^n)$$

 $\theta \leftarrow \theta - \eta_{\text{clf}} \nabla_{\theta} \mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^n)$ 

end

### centroid alignment



#### **Algorithm 2:** Centroid alignment algorithm.

Input: pre-trained model  $f(\cdot|\theta)$ , classifier  $c(\cdot|\mathbf{W})$ , novel class set  $\mathcal{X}^n$ , related base set  $\mathcal{X}^{rb}$ Output: aligned network  $c(f(\cdot|\theta)|\mathbf{W})$ 

while not done do

$$\widetilde{\mathcal{X}}^n \leftarrow \text{sample a batch from } \mathcal{X}^n$$
  
 $\widetilde{\mathcal{X}}^{rb} \leftarrow \text{sample a batch from } \mathcal{X}^{rb}$ 

evaluate alignment loss  $\mathcal{L}_{\mathrm{ca}}(\widetilde{\mathcal{X}}^n,\widetilde{\mathcal{X}}^{rb})$  with eq. 6

$$\theta \leftarrow \theta - \eta_{\rm ca} \nabla_{\theta} \mathcal{L}_{\rm ca}(\widetilde{\mathcal{X}}^n, \widetilde{\mathcal{X}}^{rb})$$

evaluate classification loss  $\mathcal{L}_{\mathrm{clf}}(\widetilde{\mathcal{X}}^{rb})$ 

$$\mathbf{W} \leftarrow \mathbf{W} - \eta_{\mathrm{clf}} \nabla_{\mathbf{W}} \mathcal{L}_{\mathrm{clf}}(\widetilde{\mathcal{X}}^{rb})$$

evaluate classification loss  $\mathcal{L}_{\mathrm{clf}}(\widetilde{\mathcal{X}}^n)$ 

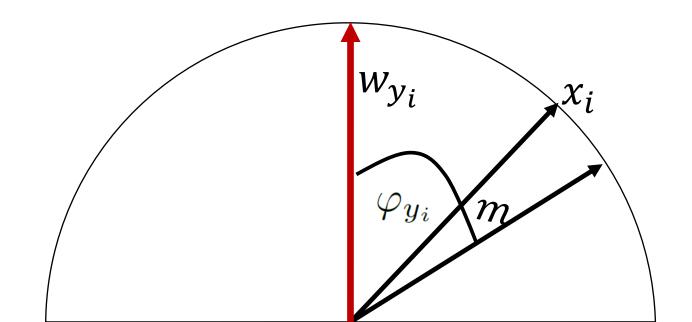
$$\mathbf{W} \leftarrow \mathbf{W} - \eta_{\mathrm{clf}} \nabla_{\mathbf{W}} \mathcal{L}_{\mathrm{clf}}(\widetilde{\mathcal{X}}^n)$$

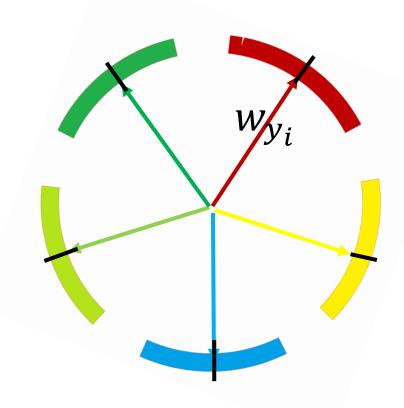
$$\theta \leftarrow \theta - \eta_{\text{clf}} \nabla_{\theta} \mathcal{L}_{\text{clf}}(\widetilde{\mathcal{X}}^n)$$

end

strong baseline: spherical loss

$$\mathcal{L}_{\text{clf}} = \frac{-1}{N} \sum_{i=1}^{N} \log \frac{\exp(s \cos(\varphi_{y_i} + m))}{\exp(s \cos(\varphi_{y_i} + m)) + \sum_{\forall j \neq y_i} \exp(s \cos(\varphi_j))}$$





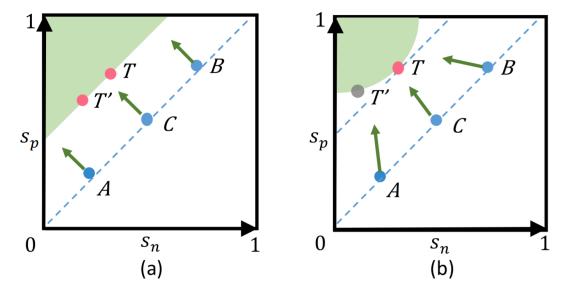
# representation learning based

To minimize each  $s_n^j$  as well as to maximize  $s_p^i$ ,  $(\forall i \in \{1, 2, \dots, K\}, \forall j \in \{1, 2, \dots, L\})$ , we propose a unified loss function by:

$$\mathcal{L}_{uni} = \log\left[1 + \sum_{i=1}^{K} \sum_{j=1}^{L} \exp(\gamma(s_n^j - s_p^i + m))\right]$$

$$= \log\left[1 + \sum_{j=1}^{L} \exp(\gamma(s_n^j + m)) \sum_{i=1}^{K} \exp(\gamma(-s_p^i))\right], \tag{1}$$

in which  $\gamma$  is a scale factor and m is a margin for better similarity separation.



Circle Loss Sun et al., CVPR 2020

# representation learning based

The Poincaré ball model  $(\mathbb{D}^n, g^{\mathbb{D}})$  is defined by the manifold  $\mathbb{D}^n = \{\mathbf{x} \in \mathbb{R}^n \colon \|\mathbf{x}\| < 1\}$  endowed with the Riemannian metric  $g^{\mathbb{D}}(\mathbf{x}) = \lambda_{\mathbf{x}}^2 g^E$ , where  $\lambda_{\mathbf{x}} = \frac{2}{1 - \|\mathbf{x}\|^2}$  is the *conformal factor* and  $g^E$  is the Euclidean metric tensor  $g^E = \mathbf{I}^n$ . In this model the *geodesic distance* between two points is given by the following expression:

$$d_{\mathbb{D}}(\mathbf{x}, \mathbf{y}) = \operatorname{arccosh}\left(1 + 2\frac{\|\mathbf{x} - \mathbf{y}\|^2}{(1 - \|\mathbf{x}\|^2)(1 - \|\mathbf{y}\|^2)}\right).$$
 (1)

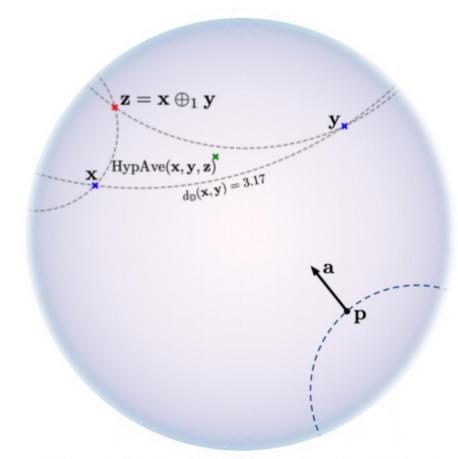


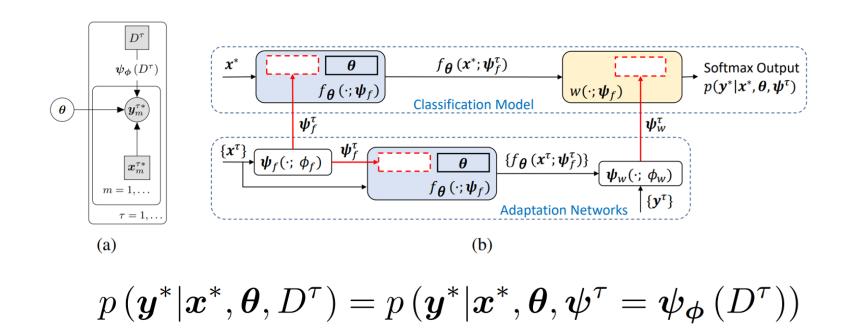
Figure 3: Visualization of the two-dimensional Poincaré ball. Point  $\mathbf{z}$  represents the *Möbius sum* of points  $\mathbf{x}$  and  $\mathbf{y}$ . HypAve stands for hyperbolic averaging. Gray lines represent *geodesics*, curves of shortest length connecting two points. In order to specify the *hyperbolic hyperplanes* (bottom), used for multiclass logistic regression, one has to provide an origin point  $\mathbf{p}$  and a normal vector  $\mathbf{a} \in T_{\mathbf{p}} \mathbb{D}^2 \setminus \{\mathbf{0}\}$ .

Hyperbolic Image Embeddings Khrulkov et al., CVPR 2020

# graphical model based

Conditional Neural Adaptive Processes, NeurIPS 2019

avoids both over-fitting in low-shot regimes and under-fitting in high-shot regimes



# thank you!

# **CVPR Review**

### Circle Loss Sun et al., CVPR 2020

To minimize each  $s_n^j$  as well as to maximize  $s_p^i$ ,  $(\forall i \in \{1, 2, \dots, K\}, \forall j \in \{1, 2, \dots, L\})$ , we propose a unified loss function by:

$$\mathcal{L}_{uni} = \log \left[ 1 + \sum_{i=1}^{K} \sum_{j=1}^{L} \exp(\gamma(s_n^j - s_p^i + m)) \right]$$

$$= \log \left[ 1 + \sum_{j=1}^{L} \exp(\gamma(s_n^j + m)) \sum_{i=1}^{K} \exp(\gamma(-s_p^i)) \right],$$
(1)

in which  $\gamma$  is a scale factor and m is a margin for better similarity separation.

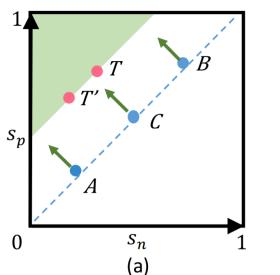
Based on the unified viewpoint, we simply make a further generalization by:

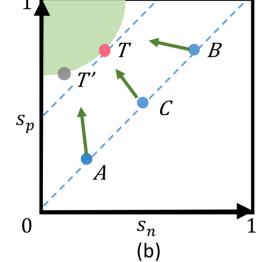
$$(s_n - s_p)$$

 $(\alpha_n s_n - \alpha_p s_p)$ 

- Inflexible optimization
- Ambiguous convergence

- ✓ More flexible optimization
- ✓ More definite convergence





within class compactness s\_p between class discrepancy s\_n

### Hyperbolic Image Embeddings, Khrulkov et al., CVPR 2020

The Poincaré ball model  $(\mathbb{D}^n, g^{\mathbb{D}})$  is defined by the manifold  $\mathbb{D}^n = \{\mathbf{x} \in \mathbb{R}^n \colon \|\mathbf{x}\| < 1\}$  endowed with the Riemannian metric  $g^{\mathbb{D}}(\mathbf{x}) = \lambda_{\mathbf{x}}^2 g^E$ , where  $\lambda_{\mathbf{x}} = \frac{2}{1 - \|\mathbf{x}\|^2}$  is the *conformal factor* and  $g^E$  is the Euclidean metric tensor  $g^E = \mathbf{I}^n$ . In this model the *geodesic distance* between two points is given by the following expression:

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 (1)

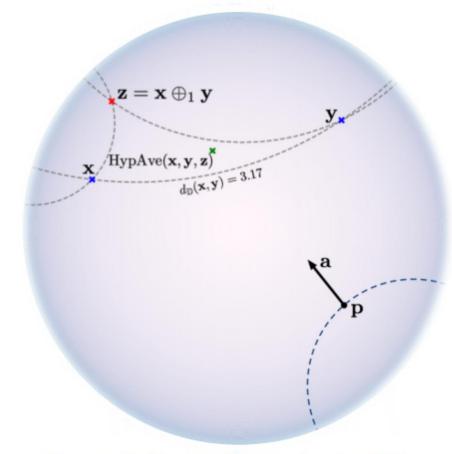


Figure 3: Visualization of the two-dimensional Poincaré ball. Point  $\mathbf{z}$  represents the *Möbius sum* of points  $\mathbf{x}$  and  $\mathbf{y}$ . HypAve stands for hyperbolic averaging. Gray lines represent *geodesics*, curves of shortest length connecting two points. In order to specify the *hyperbolic hyperplanes* (bottom), used for multiclass logistic regression, one has to provide an origin point  $\mathbf{p}$  and a normal vector  $\mathbf{a} \in T_{\mathbf{p}} \mathbb{D}^2 \setminus \{\mathbf{0}\}$ .

### Graph-Induced Prototype Alignment, Xu et al., CVPR 2020

#### Subject:

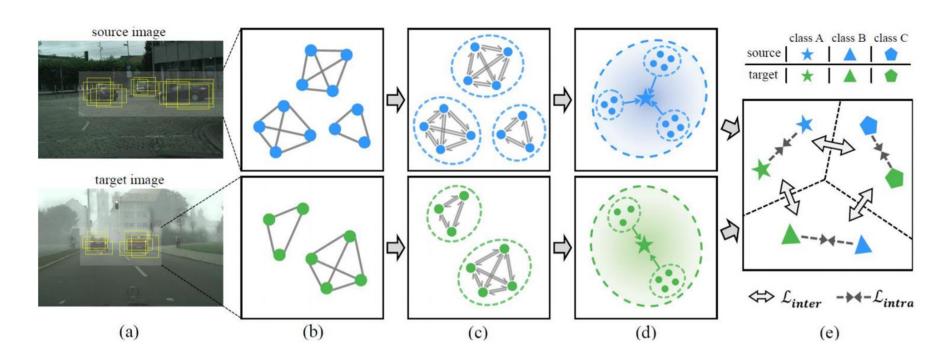
Domain Adaptation based object detection

#### Problem:

RPN results are not aligned with the objects

#### Solution:

prototypical alignment



- **(a)** Generate region proposals.
- **(b)** Construct relation graph.
- **(c)** Obtain instance-level feature representations.
- **(d)** Derive per-category prototypes.
- **(e)** Category-level domain alignment.

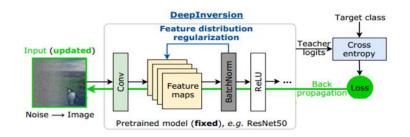
### Dreaming to Distill, Yin et al., CVPR 2020

$$\min_{\hat{x}} \mathcal{L}(\hat{x}, y) + \mathcal{R}_{\text{prior}}(\hat{x}) + \mathcal{R}_{\text{feature}}(\hat{x}) + \mathcal{R}_{\text{compete}}(\hat{x})$$

 $\mathcal{R}_{\mathrm{prior}}(\hat{x})$  improve image quality (but fail to generate the natural images)

 $\mathcal{R}_{\mathrm{feature}}(\hat{x})$  to enforce BN statistics of feature maps

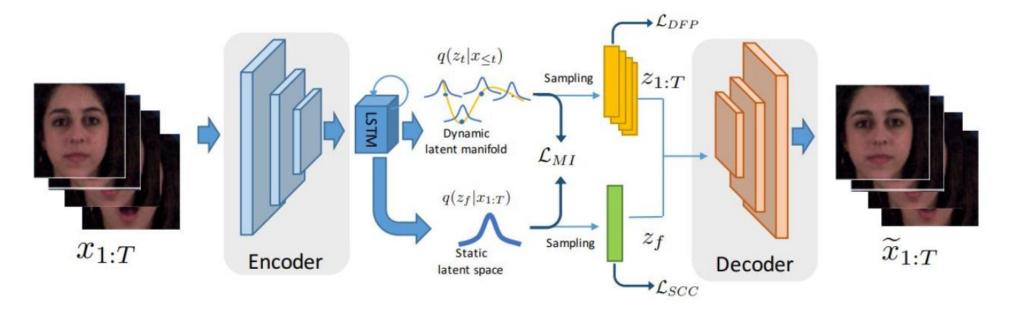
 $\mathcal{R}_{\mathrm{compete}}(\hat{x})$  improve image diversity by encouraging teacher/student competition





Synthesized Images from ResNet-50

### S3VAE, Zhu et al., CVPR 2020



- Encoder
- Decoder
- LSTM in the latent space

$$\begin{aligned} \text{VAE Objectives:} \quad \mathcal{L}_{VAE} &= \mathbb{E}_{q(\boldsymbol{z}_{1:T}, \boldsymbol{z}_{f} \mid \boldsymbol{x}_{1:T})}[-\sum_{t=1}^{T} \log p(\boldsymbol{x}_{t} \mid \boldsymbol{z}_{f}, \boldsymbol{z}_{t})] + \\ & \quad \text{KL}(q(\boldsymbol{z}_{f} \mid \boldsymbol{x}_{1:T}) || p(\boldsymbol{z}_{f})) + \sum_{t=1}^{T} \text{KL}(q(\boldsymbol{z}_{t} \mid \boldsymbol{x}_{\leq t}) || p(\boldsymbol{z}_{t} \mid \boldsymbol{z}_{< t})) \end{aligned}$$

### few-shot learning

